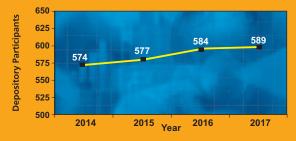
# **December 2017**

# Beneficial Owners 1.30 1.30 1.10 1.00 1.00 0.93 0.93 2014 2015 Year

During the last 12 months, the number of active BO accounts with CDSL has increased from 1.17 Crore as on November 30, 2016 to 1.39 Crore as on November 30, 2017, which indicates growth of 18.80%.

#### **Depository Participants**



As on November 30, 2017 CDSL has 589 DPs offering DP services from over 17,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs & Custodians.

#### **Unique Companies Admitted**



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on November 30, 2017, the securities of 10,595 unique companies have been admitted for demat with CDSL.

# SEMINAR ON CDSL COMMODITY REPOSITORY LIMITED (CCRL) ATJAIPUR AND BIKANER, RAJASTHAN

On September 26, 2017, CCRL received its registration certificate as a Commodity Repository from Shri. Ram Vilas Paswan, Hon'ble Union Minister of Consumer Affairs, Food and Public Distribution at a function organized by Warehousing Development and Regulatory Authority (WDRA) at New Delhi. CCRL is in the business of holding and transacting nonnegotiable and negotiable warehouse receipts in electronic form.

With a view to increase awareness about the Commodity Repository its benefits and processes, CCRL conducted a seminar at Jaipur on December 4, 2017 and Bikaner on December 6, 2017. Participants from various stakeholders in Commodity Repository viz. Repository Participants (RPs), Banks, NBFCs, Ware-houses, Traders and Farmers attended the seminar. Shri. P. Srinivas, Member, WDRA addressed the participants informing about the Regulatory framework and their foresight for development of the warehousing sector in India.



Shri.P. Srinivas, Member, WDRA addressing the participants at the seminar.



# CDSL AWARDED BFSI DIGITAL INNOVATION AWARD

CDSL is pleased to inform that it has been awarded BFSI digital innovation awards. This award is to recognize and honour digital leaders in the BFSI community, and to recognize innovators who are pushing the frontiers of digital innovation in India and deriving distinct business benefits.

# CDSL e-Infoline

#### CHANGE IN THE NAME OF DP

DPID	OLD NAME	NEW NAME
80400	ALICE BLUE SECURITIES PRIVATE LIMITED	ZEBU SHARE AND WEALTH MANAGEMENT PRIVATE LIMITED

# TRAINING PROGRAMMES FOR COMPLIANCE OFFICERS AND DP OFFICIALS

CDSL conducted training programme on December 22, 2017 for compliance officials of DPs, with special focus on Prevention of Money Laundering (PMLA), investor grievance and other compliance requirements. The training programme was conducted jointly by FIU-IND and CDSL.

# **NISM DEPOSITORY OPERATIONS CPE PROGRAM**

As an NISM accredited CPE Provider for conducting the Depository Operations Program, CDSL conducted Continuing Professional Education (CPE) training programme at Kochi on December 02, 2017.

#### SUCCESSFUL FACILITATION OF e-VOTING

At present, more than 4600 companies have signed agreements with CDSL for its e-Voting facility, of which over 4100 companies have used CDSL's e-Voting platform, <a href="https://www.evotingindia.com">www.evotingindia.com</a>, to conduct electronic voting for AGMs and postal ballot resolutions for over 15,500 occasions.

# **INVESTOR AWARENESS PROGRAMMS (IAPs)**

During November 2017, CDSL IPF Trust has conducted 9 IAPs across India. DPs / CMs also participated in these IAPs. These programs were directed towards educating Investors about Depository Services, Investing in Capital Markets, etc. For details on forthcoming IAPs, kindly click on <a href="Investor Meet">Investor Meet</a>.



Mr. Joe Pradeep of SEBI addressing the audience at an IAP conducted in Theni, Tamil Nadu on November 11, 2017.



Mr. Savio Joseph of SBICAP Securities Ltd. addressing the audience at an IAP conducted in Kannur, Kerala on November 20, 2017.

# FAQs on CDSL COMMODITY REPOSITORY LIMITED (CCRL)

# Q.1 Who is a Repository Participant (RP)?

**Ans 1.** A Repository Participant (RP) is an agent of CCRL. Responsibilities of RP are as follows:-

- a) Opening Depositor/Client account in CCRL system after completing Know Your Customer (KYC) process as defined by CCRL.
- b) Undertaking any Depositor/Client account modification.
- c) Processing all requests by Depositor/Client after getting/recording necessary instructions for such requests.

# Q.2. How to open account in CCRL?

Ans 2. A Depositor/ Client can approach any CCRL RP with their Proof of Identity (POI) and Proof of Address (POA) for account opening. The client should submit an application to open account with a valid email id and mobile number. RP will check and validate the account opening as per Bye-laws & Business Rules of CCRL. Client should be aware of his Rights and Obligations on opening the demat account.

#### Q.3. How to initiate a withdrawal request?

**Ans 3.** Withdrawal request can be initiated by an RP or Warehouse on receipt of withdrawal request or by the client through CCRL portal, Thereafter, the client should approach the warehouse for physical withdrawal of the commodity.

#### Q.4. What is Pledge?

Ans 4. A Depositor/ Client can get unencumbered (free balance) goods held by him/ her/ them pledged with any entity to avail finance from the said entity. Such entity should have a electronic account. Client can initiate Pledge request through portal or by requesting RP. Further, the warehouse holding such eNWR has to approve the Pledge creation. Thereafter confirmation about Pledge creation is given by Pledgee in the CCRL system.

# Q.5. How do I view commodity deposited at warehouse?

Ans 5. On physical deposit of goods at the warehouse, electronic non-negotiable warehouse receipt (eNNWR) will be generated immediately and intimated to the depositor/client on their registered email id / mobile number.

# Q.6. How do I change my password?

**Ans 6.** Go to user profile, and then click Change Password. All users are advised to change their password after first login.

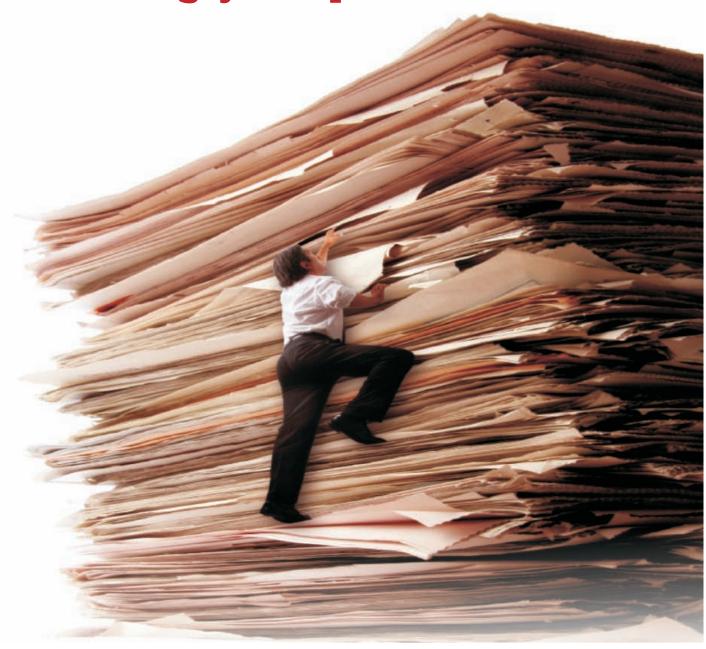
# Q.7. Can I generate New Password in case I forgot the old password?

**Ans 7.** Yes, new password can be generated. Go to forgot password tab from the login screen enter the user ID for which new password is to be generated, new password will be sent to the registered email ID / mobile no.

# Q.8. What are the various reports available?

**Ans 8.** Deposit, withdrawal, pledge, holding, transaction statement etc. are various reports available in RP and warehouse module of CCRL.

# Are your Mutual Fund Statements driving you up the wall?



Convert your statements and experience the convenience of holding your Mutual Fund units in your CDSL demat account

To know more, Contact your DP today or visit us at www.cdslindia.com

